



**TRANSMITTAL OF INFORMATION DISCLOSURE STATEMENT  
(Under 37 CFR 1.97(b) or 1.97(c))**

Docket No.  
2462-138US

In Re Application: **KODA, Yoshiharu and KODA, Kojiro**

Serial No.

10/679,519

Filing Date

10/6/2003

Examiner

Group Art Unit

**ENDOSCOPIC AUDITORY CANAL CLEANING APPARATUS**

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*Signature*

Dated: **1/6/04**

Roy Rosser

Synnestvedt Lechner & Woodbridge LLP

P.O. Box 592

Princeton, NJ 08542

609-924-3773 phone

609-924-1811 fax

CC:

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Title: ENDOSCOPIC AUDITORY CANAL CLEANING APPARATUS

Address to:  
**Commissioner for Patents**  
P.O. Box 1450  
Alexandria, VA 22313-1450

**37 CFR 1.97(b)**

1.  The Information Disclosure Statement submitted herewith is being filed within three months of the filing of a national application other than a continued prosecution application under 37 CFR 1.53(d); within three months of the date of entry of the national stage as set forth in 37 CFR 1.491 in an international application; before the mailing of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination under 37 CFR 1.114.

**37 CFR 1.97(c)**

2.  The Information Disclosure Statement submitted herewith is being filed after the period specified in 37 CFR 1.97(b), provided that the Information Disclosure Statement is filed before the mailing date of a Final Action under 37 CFR 1.113, a Notice of Allowance under 37 CFR 1.311, or an Action that otherwise closes prosecution in the application, and is accompanied by one of:

the statement specified in 37 CFR 1.97(e);

**OR**

the fee set forth in 37 CFR 1.17(p).

JAN 09 2004

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

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Substitute for form 1449/PTO

## INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Sheet 1

of 1

**Complete if Known**

Application Number	10/679519
Filing Date	10/6/2003
First Named Inventor	Yoshiharu KODA
Art Unit	
Examiner Name	

Attorney Docket Number 2462-138US

**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Document Number	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number-Kind Code <sup>2</sup> (if known)			
1	US- 09/713,723		11/15/2000	Yoshiharu Koda	All
2	US- 6,059,719		05-09-2000	Yamamoto et al.	All
3	US- 6,093,155		07-25-2000	Ouchi	All
4	US- 3,638,643		02-02-1972	Hotchkiss	All
5	US- 5,060,632		10-29-1991	Hibino et al.	All
6	US- 5,325,847		07-05-1994	Matsuno	All
7	US- 5,961,441		10-05-1999	Plumb et al.	All
8	US- 5,762,605		06-09-1998	Cane et al.	All
9	US- 5,938,590		08-17-1999	Elliott	All
10	US- 5,209,757		05-11-1993	Krug et al.	All
11	US- 5,916,150		06-29-1999	Sillman	All
12	US- 6,155,987		12-05-2000	Scherl	All
13	US- 5,888,199		03-30-1999	Karel et al.	All
14	US- 6,500,114 B1		12-31-2002	Pititto et al.	All
	US-				

**FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>3</sup>
		Country Code <sup>4</sup> -Number <sup>4</sup> -Kind Code <sup>5</sup> (if known)				
15	JP-HEI2(1990)-132494		11-02-1990	Shimo Yashuhiro	All	
16	JP-HEI6(1994)-269474		09-27-1994	MOCHIDA PHARM.	All	
17	JP-HEI8(1996)-173382		07-09-1996	READ MAX SHIYA	ALL	
18	WO-97/33530		09-18-1997	PARK, Seug Nam	ALL	

Examiner Signature		Date Considered
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\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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